

DECLARATION AND POWER OF ATTORNEY
(Related Application)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **Shark Cartilage Extract: Process of Making, Methods of Using, and Compositions Thereof** the specification of which was filed on February 7, 2002 as Application No. 10/068,950.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to herein.

I acknowledge the duty to disclose information which is material to patentability in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

FOREIGN PRIORITY APPLICATION(S)

			<u>Priority Claimed</u>
			<input type="checkbox"/> Yes <input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/month/year filed)	
			<u>Priority Claimed</u>
			<input type="checkbox"/> Yes <input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/month/year field)	

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional patent application(s) listed below and have also identified below any United States provisional patent application(s) having a filing date before that of the application on which priority is claimed.

PROVISIONAL PRIORITY PATENT APPLICATION

Priority Claimed

<hr/>		<input type="checkbox"/> Yes <input type="checkbox"/> No
(Application No.)	(Filing Date)	
<hr/>		<input type="checkbox"/> Yes <input type="checkbox"/> No
(Application No.)	(Filing Date)	

I hereby claim the benefit under Title 35, United States Code, Section 120, of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or in the prior U.S. provisional application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56, which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

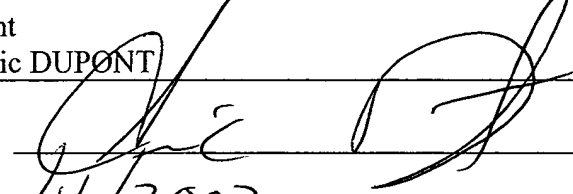
09/504,065	February 15, 2000	Pending
(Application Serial No.)	(Filing Date)	(Status)--(patented, pending, abandoned)
08/693,535	August 8, 1996	Pending
(Application Serial No.)	(Filing Date)	(Status)--(patented, pending, abandoned)
08/550,003	October 30, 1995	Pending
(Application Serial No.)	(Filing Date)	(Status)--(patented, pending, abandoned)
08/384,555	February 3, 1995	Patented (U.S. Patent No. 5,618,925)
(Application Serial No.)	(Filing Date)	(Status)--(patented, pending, abandoned)
08/234,019	April 28, 1994	Abandoned
(Application Serial No.)	(Filing Date)	(Status)--(patented, pending, abandoned)

And I hereby appoint the registered attorneys and agents associated with **AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.**, Customer No. 000570, as my attorneys or agents with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Address all correspondence to **Customer No. 000570, namely, AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.**, One Commerce Square, 2005 Market Street, Suite 2200, Philadelphia, Pennsylvania 19103. Please direct all communications and telephone calls to **Kristyne A. Bullock** at (215) 965-1348.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first joint
inventor Éric DUPONT

Inventor's Signature 

Date 30/4/2002

Residence Quebec, Canada

Citizenship Canadian

Post Office Address ~~141 de la Presqu'île, Saint-Nicolas, Québec, Canada, G7A 2R9~~

37, chemin du Bout de l'Île, Sainte-Pétronille, Île d'Orléans, Québec G0A 4C0



Full name of second joint

inventor, **Paul BRAZEAU**

Inventor's Signature

Paul Brazeau

Date 7 mai 2002

Residence Québec, Canada

Citizenship Canadian

Post Office Address 4054, Parc Lafontaine, Montreal, Québec, Canada H2L 3M8

Full name of third joint

inventor, **Christina JUNEAU**

Inventor's Signature

Date

Residence Québec, Canada

Citizenship Canadian

Post Office Address 4668 Chemin Notre-Dame, Saint-Augustin, Québec Canada G3A 1W8

Full name of fourth joint

inventor, **Daniel H. MAES**

Inventor's Signature

Date

Residence Huntington, New York

Citizenship French

Post Office Address 48 Knollwood Road, Huntington, New York, 11743

Full name of second joint

inventor, **Paul BRAZEAU**

Inventor's Signature

Date

Residence **Québec, Canada**


Citizenship **Canadian**

Post Office Address **4054, Parc Lafontaine, Montreal, Québec, Canada H2L 3M8**

Full name of third joint

inventor, **Christina JUNEAU**

Inventor's Signature

 Juneau

x

Date

7 May 2002

x

Residence **Québec, Canada**

Citizenship **Canadian**

Post Office Address ~~**4668 Chemin Notre-Dame, Saint-Augustin, Québec Canada G3A 1W8**~~

103 Des Rapides Pont-Rouge (Québec) G3H 2A2

Full name of fourth joint

inventor, **Daniel H. MAES**

Inventor's Signature

Date

Residence **Huntington, New York**

Citizenship **French**

Post Office Address **48 Knollwood Road, Huntington, New York, 11743**

Full name of second joint
inventor, Paul BRAZEAU

Inventor's Signature _____

Date _____

Residence Québec, Canada

Citizenship Canadian

Post Office Address 4054, Parc Lafontaine, Montreal, Québec, Canada H2L 3M8

Full name of third joint
inventor, Christina JUNEAU

Inventor's Signature _____

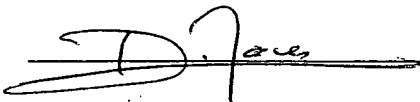
Date _____

Residence Québec, Canada

Citizenship Canadian

Post Office Address 4668 Chemin Notre-Dame, Saint-Augustin, Québec Canada G3A 1W8

Full name of fourth joint
inventor, Daniel H. MAES

Inventor's Signature 

Date 05/06/02

Residence Huntington, New York

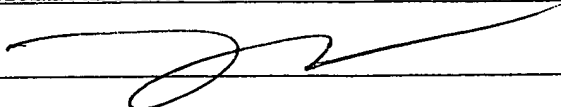
Citizenship French

Post Office Address 48 Knollwood Road, Huntington, New York, 11743

Full name of fifth joint
inventor, if any

Kenneth MARENUS

Inventor's Signature



Date

5-6-02

Residence

Dix Hills, New York

Citizenship

United States of America

Post Office Address

62 McCulloch Drive, Dix Hills, New York, 11746

Full name of sixth joint
inventor, if any

Richard BÉLIVEAU

Inventor's Signature

Date

Residence

Québec, Canada

Citizenship

Canadian

Post Office Address

266 Wilson Ile-des-Soeurs, Québec Canada H3G 1L8

Full name of fifth joint

inventor, if any **Kenneth MARENUS**

Inventor's Signature _____

Date _____

Residence Dix Hills, New York

Citizenship United States of America

Post Office Address 62 McCulloch Drive, Dix Hills, New York, 11746

Full name of sixth joint

inventor, if any **Richard BÉLIVEAU**

Inventor's Signature _____

Date _____

Residence Québec, Canada

Citizenship Canadian

Post Office Address 266 Wilson Ile-des-Soeurs, Québec Canada H3G 1L8

PATENT / DOCKET NO. 32187.6
CUSTOMER NO. 000027683

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:	Patent Application of Éric DUPONT, <i>et al.</i>	§	Group Art Unit: Not Yet Assigned
		§	
		§	
Conf. No.:	3939	§	
		§	
Appln. No.:	10/068,950	§	Examiner: Not Yet Assigned
		§	
Filed:	February 7, 2002	§	
		§	
For:	SHARK CARTILAGE EXTRACT:	§	
	PROCESS OF MAKING, METHODS	§	
	OF USING, AND COMPOSITIONS	§	
	THEREOF	§	

**REVOCATION/NEW POWER OF ATTORNEY BY ASSIGNEE
OF ENTIRE INTEREST INCLUDING CERTIFICATION
FOR TAKING ACTION BY ASSIGNEE UNDER 37 CFR 3.73(b)**

Commissioner For Patents
Washington, D.C. 20231

Dear Sir:

The above-identified assignee hereby revokes all powers of attorney previously given and hereby appoints the following attorneys to prosecute the above-identified application and to transact all business in the United States Patent and Trademark Office in connection therewith:

David L. McCombs (Reg. No. 32,271); Michael J. Balconi-Lamica (Reg. No. 34,291); Jeffrey M. Becker (Reg. No. 35,442); J.R. Bell (Reg. No. 26,528); Timothy Bliss (Reg. No. P-50,925); Randall C. Brown (Reg. No. 31,213); L. Howard Chen (Reg. No. 46,615); Randall E. Colson (Reg. No. 40,566); Michael A. Davis, Jr. (Reg. No. 35,488); Ruben C. DeLeon (Reg. No. 37,812); Andrew S. Ehmke (Reg. No. P-50,271); Brian J. Hubbard (Reg. No. 45,873); Rita M. Irani (Reg. No. 31,028); Mark P. Kahler (Reg. No. 29,178); Warren B. Kice (Reg. No. 22,732); J. Andrew Lowes (Reg. No. 40,706); Todd Mattingly

(Reg. No. 40,298); John Montgomery (Reg. No. 31,124); Bill R. Naifeh (Reg. No. 44,962); and David M. O'Dell (Reg. No. 42,044).

Please address all correspondence and telephone calls regarding this application to:

Randall C. Brown
Attorney for Applicants
Haynes and Boone, LLP
901 Main Street, Suite 3100
Dallas, TX 75202-3789
(214) 651-5000
(214) 651-5940 – Fax

The undersigned representative of the above-identified assignee certifies that the above-identified assignee is the assignee of the entire right, title and interest in the above-identified patent application by virtue of a chain of title from the inventors of the above-identified patent application to the above-identified assignee as shown below:

1. From: Éric Dupont, Paul Brazeau, and Christina Juneau
To: Les Laboratoires Aeterna, Inc.
(A copy of which is attached hereto and which was filed for recordation on July 19, 2002.)
2. From: Daniel H. Maes and Kenneth Marenus
To: Estee Lauder, Inc.
(A copy of which is attached hereto and which was filed for recordation on July 19, 2002.)
3. From: Estee Lauder, Inc.
To: Les Laboratoires Aeterna, Inc.
(A copy of which is attached hereto and which was filed for recordation on July 19, 2002.)
4. From: Richard Beliveau
To: Universite du Quebec a Montreal (UQAM)
(A copy of which is attached hereto and which was filed for recordation on July 19, 2002.)

5. From: Universite du Quebec a Montreal (UQAM)
To: Les Laboratoires Aeterna, Inc.
(A copy of which is attached hereto and which was filed for recordation on July 19, 2002.)

The undersigned has reviewed all the documents in the chain of title of the above-identified patent application and, to the best of the undersigned's knowledge and belief, title is in the above-identified assignee. The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the above-identified assignee.

The undersigned hereby declares that all statements made herein of the undersigned's own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Executed the 30th day of JULY, 2002.

LES LABORATOIRES AETERNA, INC.

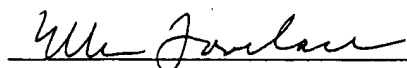
By: 

Name: Claude Vadboncoeur

Title: Vice President, Legal Affairs and Corporate Secretary

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C.

20231 on August 14, 2002.


Ellen Lovelace



SEPTEMBER 27, 2002

PTAS

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

HAYNES AND BOONE, LLP
RANDALL C. BROWN
901 MAIN STREET
SUITE 3100
DALLAS, TX 75202



102173847A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/24/2002

REEL/FRAME: 013126/0579
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
DUPONT, ERIC

DOC DATE: 07/08/2002

ASSIGNOR:
BRAZEAU, PAUL

DOC DATE: 07/08/2002

ASSIGNOR:
JUNEAU, CHRISTINA

DOC DATE: 07/08/2002

ASSIGNEE:
LES LABORATORIES AETERNA, INC.
1405, BOUL. DU PARC-TECHNOLOGIQUE
QUEBEC G1P 4P5, CANADA

SERIAL NUMBER: 10068950
PATENT NUMBER:

FILING DATE: 02/07/2002
ISSUE DATE:

013126/0579 PAGE 2

SAUNDRA BALLENGER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

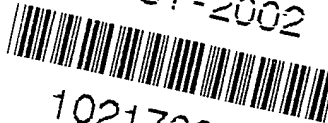
Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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REC

07-31-2002
102173847U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please receive

original documents or copy thereof.

1. Name of conveying party(ies):

ERIC DUPONT
PAUL BRAZEAU
CHRISTINA JUNEAU

7.24.02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: July 8, 2002

2. Name and address of receiving party(ies)

Name: LES LABORATOIRES AETERNA, INC.

Internal Address: 1405, boul. du Parc - Technologique

Quebec, CANADA G1P 4P5

Street Address: 1405, boul. du Parc - Technologique

Quebec, CANADA, G1P 4P5

City: _____ State: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/068,950

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Randall C. Brown

Internal Address: Haynes and Boone, LLP

901 Main Street - Suite 3100

Dallas, Texas 75202

Street Address: 901 Main Street - Suite 3100

City: Dallas State: Texas Zip: 75202

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

08-1394 (Docket No. 32187.5)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Randall C. Brown

Name of Person Signing

Signature

Date

7/19/02

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

07/30/2002 AAHMED1 00000064 10068950

01 FC:584

40.00 OP

D-1041344.1

CUSTOMER NO. 000027683

DOCKET NO.: 32187.6

**ASSIGNMENT OF INVENTION
UNIVERSAL**

WHEREAS, I/We 1) Éric DUPONT, 2) Paul BRAZEAU and 3) Christina JUNEAU
whose full address(es) is (are) 1) 37, Chemin du Bout de l'Ile, Ste-Pétronille (Ile d'Orléans),
Québec, Canada, G0A 4C0; 2) 4054, Parc Lafontaine, Montreal, Québec, Canada H2L 3M8;
and 3) 4668 Chemin Notre-Dame, Saint-Augustin, Québec, Canada G3A 1W8
have entire right, title and interest in and to the invention entitled Shark Cartilage Extract: Process
of Making, Methods of Using and Compositions Thereof
and described in patent application which serial number is 10/068,950
filed on February 7, 2002;

and:

WHEREAS Les Laboratoires Aeterna Inc.

whose full adress is 1405, boul. du Parc-Technologique, Québec, CANADA G1P 4P5

(hereinafter referred to as the assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions and in and to any and all patents to be obtained therefore;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other valuable consideration, the receipt of which is hereby acknowledged, I/We have and by these presents do hereby sell, assign and transfer unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents, reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part, substitute application(s) or supplementary disclosure(s) which may be filed upon said invention or inventions, in any country; and I/We hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee.

I/We further agree without any payment by said assignee other than expenses incurred by the undersigned, to communicate to said assignee, its representatives or agents, any facts relating to said invention or inventions, including evidence for interference purposes or for other proceedings, whenever requested; testify in any interference, litigation or other proceedings, whenever requested; and execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective, and likewise make these provisions binding upon my/our heirs, legal representatives, administrators and assigns.

Le(s) soussigné(s) désire(nt) que la présente cession soit en anglais. The undersigned request(s) that the present assignment be in English.

WITNESS(ES)

DATE

ASSIGNORS

Justine Vallières

2 juillet 2002

Eric DUPONT

Katherine Bork

1 mai 2002

Paul BRAZEAU

Christina JUNEAU

8 juillet 2002

Christina JUNEAU

WITNESS

DATE

ASSIGNEE

Justine Vallières

2 juillet 2002

Eric DUPONT

Name: Éric DUPONT
Title: President



UNITED STATES
PATENT AND
TRADEMARK OFFICE

SEPTEMBER 27, 2002

PTAS

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

HAYNES & BOONE, LLP
RANDALL C. BROWN
901 MAIN STREET - SUITE 3100
DALLAS, TEXAS 75202



102173848A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 07/24/2002

REEL/FRAME: 013126/0537
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MAES, DANIEL H.

DOC DATE: 05/06/2002

ASSIGNOR:

MARENUS, KENNETH

DOC DATE: 05/06/2002

ASSIGNEE:

ESTEE LAUDER, INC.
RESEARCH PARK, 125 PINELAWN ROAD
MELVILLE, NEW YORK 11747

SERIAL NUMBER: 10068950
PATENT NUMBER:

FILING DATE: 02/07/2002
ISSUE DATE:

LAZENA MARTIN, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

07-31-2002

Form PTO-1595
(Rev. 03/01)

REI

OMB No- 0651-0027 (exp. 5/31/2002)

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102173848

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

DANIEL H. MAES
KENNETH MARENUS

7-24-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: May 6, 2002

2. Name and address of receiving party(ies)

Name: ESTEE LAUDER, INC.

Internal Address: Research Park, 125 Pinelawn Road

Melville, New York 11747

Street Address: Research Park, 125 Pinelawn Road

City: Melville State: NY Zip: 11747

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/068,950

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Randall C. Brown

Internal Address: Haynes and Boone, LLP

901 Main Street - Suite 3100

Dallas, Texas 75202

Street Address: 901 Main Street - Suite 3100

City: Dallas State: Texas Zip: 75202

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

08-1394 (Docket No. 32187.5)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Randall C. Brown

Name of Person Signing

Signature

7/19/02

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231OFFICE OF PUBLIC RECORDS
2002 JUL 24 AM 8:12
FINANCE SECTION

07/30/2002 AAHMED1 00000065 10068950

01 FC:581 D-1041353.1

40.00 OP

CUSTOMER NO. 000027683

DOCKET NO.: 32187.6

**ASSIGNMENT OF INVENTION
UNIVERSAL**

WHEREAS, I/We 1) Daniel H. MAES and 2) Kenneth MARENUS

whose full address(es) is (are) 1) 48 Knollwood Road, Huntington New York 11743, and

2) 62 McCulloch Drive, Dix Hills, New York 11746

have entire right, title and interest in and to the invention entitled Shark Cartilage Extract: Process
of Making, Methods of Using and Compositions Thereof

and described in patent application which serial number is 10/068,950

filed on February 7, 2002 ;

and:

WHEREAS Estée Lauder Inc.

whose full adress is Research Park, 125 Pinelawn Road, Melville, NY 11747

(hereinafter referred to as the assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions and in and to any and all patents to be obtained therefore;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other valuable consideration, the receipt of which is hereby acknowledged, I/We have and by these presents do hereby sell, assign and transfer unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents, reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part, substitute application(s) or supplementary disclosure(s) which may be filed upon said invention or inventions, in any country; and I/We hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee.

I/We further agree without any payment by said assignee other than expenses incurred by the undersigned, to communicate to said assignee, its representatives or agents, any facts relating to said invention or inventions, including evidence for interference purposes or for other proceedings, whenever requested; testify in any interference, litigation or other proceedings, whenever requested; and execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective, and likewise make these provisions binding upon my/our heirs, legal representatives, administrators and assigns.

Le(s) soussigné(s) désire(nt) que la présente cession soit en anglais. The undersigned request(s) that the present assignment be in English.

WITNESS(ES)

DATE

ASSIGNORS

Francine Kagee
5-6-2002

05/06/02

D. H. Maes
Daniel H. MAES

John Granahan

5-6-02

Kenneth Marenus
Kenneth MARENUS

WITNESS

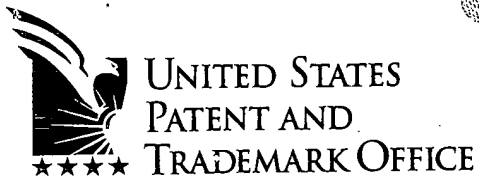
DATE

ASSIGNEE

Ellen M. Patterson
May 6, 2002

Harvey Gedeon
Name: Harvey GEDEON

Title: Senior V.P. Research & Development



SEPTEMBER 27, 2002

PTAS

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

HAYNES AND BOONE, LLP
RANDALL C. BROWN
901 MAIN STREET - SUITE 3100
DALLAS, TEXAS 75202



102173849A

UNITED STATES PATENT AND TRADEMARK OFFICE
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/24/2002

REEL/FRAME: 013126/0577
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
ESTEE LAUDER, INC.

DOC DATE: 07/02/2002

ASSIGNEE:
LES LABORATORIES AETERNA, INC.
1405, BOUL. DU PARC-TECHNOLOGIQUE
QUEBEC, CANADA G1P 4P5

SERIAL NUMBER: 10068950
PATENT NUMBER:

FILING DATE: 02/07/2002
ISSUE DATE:

JOANN STEWART, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

07-31-2002

Form PTO-1595
(Rev. 03/01)

RECORD

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼



102173849

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
ESTEE LAUDER, INC.

7-24-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: July 2, 2002

2. Name and address of receiving party(ies)

Name: LES LABORATOIRES AETERNA, INC.

Internal Address: 1405, boul. du Parc - Technologique

Quebec, CANADA G1P 4P5

Street Address: 1405, boul. du Parc - Technologique

Quebec, CANADA G1P 4P5

City: _____ State: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/068,950

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Randall C. Brown

Internal Address: Haynes and Boone, LLP

901 Main Street - Suite 3100

Dallas, Texas 75202

Street Address: 901 Main Street - Suite 3100

City: Dallas State: Texas Zip: 75202

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

08-1394 (Docket No. 32187.5)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Randall C. Brown

Name of Person Signing

Signature

7/19/02

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

07/30/2002 AAHMD1 00000066 10068950

01 FC:581

40.00 OP

D-1041361.1

CUSTOMER NO. 000027683

DOCKET NO.: 32187.6

OFFICE OF PUBLIC RECORDS
JUL 24 AM 8:12
FINANCE SECTION

ASSIGNMENT OF INVENTION
UNIVERSAL

WHEREAS, I/We Estée Lauder Inc.

whose full address(es) is (are) Research Park, 125 Pinelawn Road, Melville, NY 11747

have entire right, title and interest in and to the invention entitled Shark Cartilage Extract: Process
of Making, Methods of Using and Compositions Thereof

and described in patent application which serial number is 10/068,950

filed on February 7, 2002;

and:

WHEREAS Les Laboratoires Aeterna Inc.

whose full address is 1405, boul. du Parc-Technologique, Québec, CANADA G1P 4P5

(hereinafter referred to as the assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions and in and to any and all patents to be obtained therefore;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other valuable consideration, the receipt of which is hereby acknowledged, I/We have and by these presents do hereby sell, assign and transfer unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents, reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part, substitute application(s) or supplementary disclosure(s) which may be filed upon said invention or inventions, in any country; and I/We hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee.

I/We further agree without any payment by said assignee other than expenses incurred by the undersigned, to communicate to said assignee, its representatives or agents, any facts relating to said invention or inventions, including evidence for interference purposes or for other proceedings, whenever requested; testify in any interference, litigation or other proceedings, whenever requested; and execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective, and likewise make these provisions binding upon my/our heirs, legal representatives, administrators and assigns.

Le(s) soussigné(s) désire(nt) que la présente cession soit en anglais. The undersigned request(s) that the present assignment be in English.

WITNESS(ES)

DATE

ASSIGNORS

Ellen M. Patterson

May 6, 2002

[Signature]

Name: Harvey GEDEON

Title: Senior V.P. Research & Development

WITNESS(ES)

DATE

ASSIGNEE

[Signature]

2 juillet 2002

[Signature]

Name: Éric DUPONT

Title: President



SEPTEMBER 27, 2002

PTAS

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

HAYNES AND BOONE, LLP
RANDALL C. BROWN
901 MAIN STREET - SUITE 3100
DALLAS, TEXAS 75202



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/24/2002

REEL/FRAME: 013126/0542
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BELIVEAU, RICHARD

DOC DATE: 06/25/2002

ASSIGNEE:

UNIVERSITE DU QUEBEC A MONTREAL
(UQAM)
1430, RUE SAINT-DENIS, C.P. 8888,
SUCCURSALE CENTRE-VILLE MONTREAL
(QUEBEC)
CANADA
HC3 3P8

SERIAL NUMBER: 10068950
PATENT NUMBER:

FILING DATE: 02/07/2002
ISSUE DATE:

VIOLET MCCOY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

07-31-2002

Form PTO-1595
(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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102173850

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
RICHARD BELIVEAU

7.24.02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: June 25, 2002

2. Name and address of receiving party(ies)

Name: UNIVERSITE DU QUEBEC A MONTREAL
(UQAM)

Internal Address: 1430, rue Saint-Denis, C.P. 8888,

succursale Centre-ville, Montreal (Quebec) CANADA

H3C 3P8

Street Address: 1430, rue Saint-Denis, C.P. 8888,

succursale Centre-ville

City: Montreal (Quebec) State: CANADA Zip: H3C 3P8

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/068,950

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Randall C. Brown

Internal Address: Haynes and Boone, LLP

901 Main Street - Suite 3100

Dallas, Texas 75202

Street Address: 901 Main Street - Suite 3100

City: Dallas State: Texas Zip: 75202

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

08-1394 (Docket No. 32187.5)

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Randall C. Brown

Name of Person Signing

Signature

7/19/02

Date

Total number of pages including cover sheet, attachments, and documents: 3

07/30/2002 AAHMED1 00000067 10068950

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40.00 OP

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

D-1041366.1

CUSTOMER NO. 000027683

DOCKET NO.: 32187.6

OFFICE OF PUBLIC RECORDS
2002 JUL 24 AM 8:12
FINANCE SECTION

**ASSIGNMENT OF INVENTION
UNIVERSAL**

WHEREAS, I/We Richard BÉLIVEAU

whose full address(es) is (are) 266 Wilson, Ile-des-Soeurs, Québec, CANADA H3E 1L8

have entire right, title and interest in and to the invention entitled Shark Cartilage Extract: Process
of Making, Methods of Using and Compositions Thereof

and described in patent application which serial number is 10/068,950

filed on February 7, 2002;

and:

WHEREAS UNIVERSITÉ DU QUÉBEC À MONTRÉAL (UQAM)

whose full adress is 1430, rue Saint-Denis, C.P. 8888, succursale Centre-ville, Montréal (Québec)

CANADA H3C 3P8

(hereinafter referred to as the assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions and in and to any and all patents to be obtained therefore;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other valuable consideration, the receipt of which is hereby acknowledged, I/We have and by these presents do hereby sell, assign and transfer unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents, reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part, substitute application(s) or supplementary disclosure(s) which may be filed upon said invention or inventions, in any country; and I/We hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee.

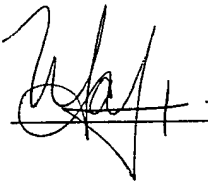
I/We further agree without any payment by said assignee other than expenses incurred by the undersigned, to communicate to said assignee, its representatives or agents, any facts relating to said invention or inventions, including evidence for interference purposes or for other proceedings, whenever requested; testify in any interference, litigation or other proceedings, whenever requested; and execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective, and likewise make these provisions binding upon my/our heirs, legal representatives, administrators and assigns.

Le(s) soussigné(s) désire(nt) que la présente cession soit en anglais. The undersigned request(s) that the present assignment be in English.

WITNESS

DATE

ASSIGNOR



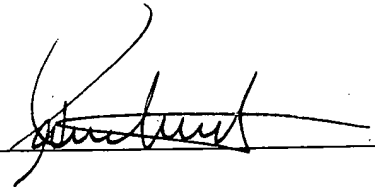
5/5/02


Richard BELIVEAU

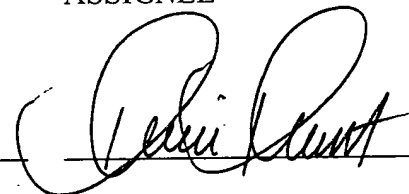
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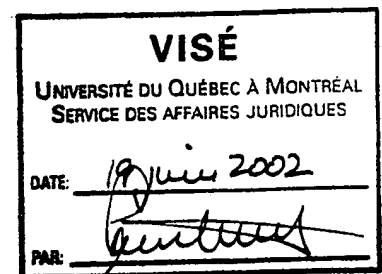
DATE

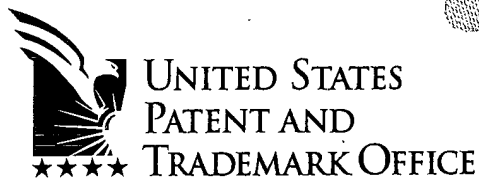
ASSIGNEE



25/6/02


Name: Pierre PARENT
Title: Secrétaire général





SEPTEMBER 27, 2002

PTAS

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Washington, DC 20231
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HAYNES AND BOONE, LLP
RANDALL C. BROWN
901 MAIN STREET - SUITE 3100
DALLAS, TX 75202



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RECORDATION DATE: 07/24/2002

REEL/FRAME: 013126/0559
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

UNIVERSITE DU QUEBEC A MONTREAL
(UQAM)

DOC DATE: 07/02/2002

ASSIGNEE:

LES LABORATOIRES AETERNA, INC.
1405, BOUL, DU PARC -
TECHNOLOGIQUE
QUEBEC, CANADA G1P 4P5

SERIAL NUMBER: 10068950
PATENT NUMBER:

FILING DATE: 02/07/2002
ISSUE DATE:

LAZENA MARTIN, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Form PTO-1595
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OMB No. 0651-0027 (exp. 5/31/2002)

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07-31-2002



102173851

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U.S. Patent and Trademark Office

▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks

Attached original documents or copy thereof.

1. Name of conveying party(ies):
UNIVERSITE DU QUEBEC A
MONTREAL (UQAM)

7.24.02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: July 2, 2002

2. Name and address of receiving party(ies)

Name: LES LABORATOIRES AETERNA, INC.

Internal Address: 1405, boul. du Parc - Technologique

Quebec, CANADA G1P 4P5

Street Address: 1405, boul. du Parc - Technologique

Quebec, CANADA G1P 4P5

City: _____ State: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/068,950

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Randall C. Brown

Internal Address: Haynes and Boone, LLP

901 Main Street - Suite 3100

Dallas, Texas 75202

Street Address: 901 Main Street - Suite 3100

City: Dallas State: Texas Zip: 75202

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

08-1394 (Docket No. 32187.5)

(Attach duplicate copy of this page if paying by deposit account)

OFFICE OF PUBLIC RECORDS
JUL 24 AM 8:12
FINANCE SECTION

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Randall C. Brown

Name of Person Signing

Signature

7/19/02

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

07/30/2002 AAHMED1 00000068 10068950

01 FC:581

D-1041375.1

40.00 OP

CUSTOMER NO. 000027683

DOCKET NO.: 32187.6

**ASSIGNMENT OF INVENTION
UNIVERSAL**

WHEREAS, I/We UNIVERSITÉ DU QUÉBEC À MONTRÉAL (UQAM)

whose full address(es) is (are) 1430, rue St-Denis, C.P. 888, succursale Centre-ville, Montréal

(Québec) CANADA H3C 3P8

have entire right, title and interest in and to the invention entitled Shark Cartilage Extract: Process
of Making, Methods of Using and Compositions Thereof

and described in patent application which serial number is 10/068,950

filed on February 7, 2002 ;

and:

WHEREAS LES LABORATOIRES AETERNA INC.

whose full adress is 1405, boul. du Parc-Technologique, Québec, CANADA G1P 4P5

(hereinafter referred to as the assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions and in and to any and all patents to be obtained therefore;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other valuable consideration, the receipt of which is hereby acknowledged, I/We have and by these presents do hereby sell, assign and transfer unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents, reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part, substitute application(s) or supplementary disclosure(s) which may be filed upon said invention or inventions, in any country; and I/We hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee.

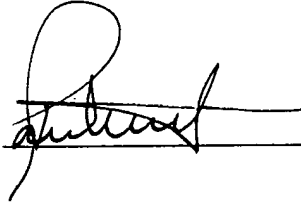
I/We further agree without any payment by said assignee other than expenses incurred by the undersigned, to communicate to said assignee, its representatives or agents, any facts relating to said invention or inventions, including evidence for interference purposes or for other proceedings, whenever requested; testify in any interference, litigation or other proceedings, whenever requested; and execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective, and likewise make these provisions binding upon my/our heirs, legal representatives, administrators and assigns.

Le(s) soussigné(s) désire(nt) que la présente cession soit en anglais. The undersigned request(s) that the present assignment be in English.

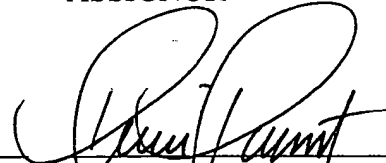
WITNESS(ES)

DATE

ASSIGNOR



25/6/2



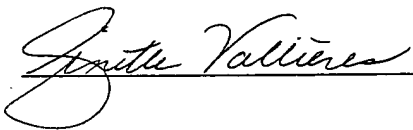
Name: Pierre PARENT

Title: Secrétaire général

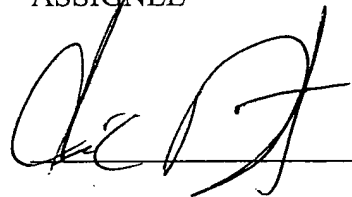
WITNESS(ES)

DATE

ASSIGNEE



2 juillet 2002



Name: Éric DUPONT

Title: President

